# Hyperbolic 3-manifolds

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References:

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W. Jaco and P. B. Shalen, "Seifert fibered spaces in 3-manifolds," *Mem. Amer. Math. Soc.* **21** No. 220 (1979).

G. P. Scott "The geometries of 3-manifolds," *Bull. London Math. Soc.* **15** 401-487 (1983).

Textbooks: Hempel, Benedetti and Petronio, Ratcliffe

I will try to follow these guidelines, reserving the right to resort to hand-waving if I get stuck.

- Our 3-manifolds will be smooth. Usually they will be orientable. I will try to indicate when they may have boundary. They need not be compact, and will be said to be *closed* when they are compact without boundary.
- Surfaces in a 3-manifold M will be piecewise smooth, and properly embedded (i.e  $\Sigma \cap \partial M = \partial \Sigma$ ) in the exceptional case where M has boundary
- Isotopies will be piecewise smooth.

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When the simple closed curve  $\partial D$  is not the boundary of a disk in  $\Sigma$ , the surgery is called a *compression*. A compression never produces a sphere.

This sounds like number theory but it's different. The identity element,  $S^3$ , is prime. All irreducible manifolds are prime, but not conversely. In fact,  $S^1 \times S^2$  is the unique 3-manifold that is prime but not irreducible (a non-separating  $S^2$  does not bound a ball).

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But there are **lots** of irreducible 3-manifolds. Moreover, every closed 3-manifold has a unique description as a connected sum of prime 3-manifolds. (There may be  $S^1 \times S^2$  summands, though, which are prime but not irreducible.)

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Two disjoint 2-spheres in a 3-manifold *M* are said to be *parallel* when they cobound  $S^2 \times [0, 1]$ .

Kneser's Theorem. For any 3-manifold M there exists  $N_M$  such that if S is any family of 2-spheres which are pairwise disjoint and non-parallel then  $|S| \leq N_M$ . (Hence M can have at most  $N_M$  non-trivial connected summands.)

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- Reverse the sequence of surgeries to reconstruct the manifold bounded by  $\Sigma$ . At each stage, either some bounded region is expanded by attaching a 3-ball along a 2-disk, or reduced by removing a 3-ball attached along a 2-disk. Hence all of the bounded regions are balls at each stage.



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Kneser's theorem gives existence of a prime decomposition. To prove uniqueness, first remove  $S^1 \times S^2$  summands until no non-separating 2-spheres remain. Then show that any two maximal families of pairwise non-parallel spheres are isotopic.

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**Theorem**. A compact irreducible 3-manifold M contains a family  $\mathcal{T}$  of incompressible tori such that every connected 3-manifold obtained by cutting M along  $\mathcal{T}$  is either Seifert-fibered or atoroidal. Up to isotopy there is a unique family  $\mathcal{T}$  which is minimal under inclusion.

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#### Geometrization

A geometry X is a simply connected analytic Riemannian manifold having a transitive isometry group with compact point-stabilizers. (E.g.  $\mathbb{H}^3$ .) An X-structure on a manifold is an atlas of charts mapping into X such that the transition maps extend to isometries of X.

William Thurston identified eight 3-dimensional geometries and conjectured:

Geometrization Theorem. A closed irreducible 3-manifold M contains a family T of disjoint incompressible tori, unique up to isotopy, such that each component of M - T admits a complete geometric structure.

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Among the eight geometries, the hyperbolic structures are generic. Non-hyperbolic geometric 3-manifolds are classified.

#### Developing maps

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By "analytic continuation" of  $\phi$ , any smooth path in M starting at m lifts to a smooth path in X starting at x. Homotopic paths have homotopic lifts. If we fix a basepoint  $\tilde{m}$  lying over m in the universal cover  $\tilde{M}$ , then we obtain a unique *developing map*  $D: (\tilde{M}, \tilde{m}) \to (X, x)$  so that, for any path  $\sigma$  starting at m, if  $\tilde{\sigma}$  is the lift of  $\sigma$  to  $(\tilde{M}, \tilde{m})$ , then  $D \circ \sigma$  is the lift of  $\sigma$  to (X, x). Suppose *M* has an *X*-structure. Fix basepoints  $m \in M$  and  $x \in X$ , and a chart  $\phi$  with  $\phi(m) = x$ .

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The developing map D determines a holonomy representation  $\rho: \pi_1(M) \to \text{Isom}_+(X)$  such that D is equivariant with respect to the standard action of  $\pi_1(M, m)$  on  $\widetilde{M}$  and the action on X given by  $\rho$ .

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Theorem. An X-structure defines a complete metric on M if and only if its developing map is a diffeomorphism  $D: \widetilde{M} \to X$ . In this case the holonomy representation is discrete.

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To construct a complete hyperbolic structure on M it suffices to construct a diffeomorphism  $D: \widetilde{M} \to \mathbb{H}^3$  carrying each ideal 3-simplex in  $\widetilde{\mathcal{T}}$  to a geometric ideal simplex, i.e. the convex hull of 4 distinct points on  $S_{\infty}$ . The map D will be the developing map of our hyperbolic structure.

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The first step is to solve the *gluing equations*. They have a variable  $z_i$  for each 3-simplex  $\Delta_i$  in  $\mathcal{T}$  and an equation for each edge. The value of  $z_i$  represents the cross-ratio (or shape parameter) of  $D(\widetilde{\Delta}_i) \subset \mathbb{H}^3$  for any lift  $\widetilde{\Delta}_i$  of  $\Delta_i$ . (Fix an arbitrary ordering of the vertices.)





Let  $S_1, \ldots, S_v$  be the linear fractions assigned to e in the tetrahedra incident to e. Then the equation corresponding to e is:

$$\prod_{i=1}^{\nu} S_i = 1.$$

Given a solution, one can construct an equivariant map from M to  $\mathbb{H}^3$ , unique up to conjugation in  $\text{lsom}_+(\mathbb{H}^3)$ , that carries topological ideal simplices to (possibly degenerate) geometric ideal simplices.

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These equivariant maps are called *pseudo-developing maps*. Often they are not even local homeomorphisms, So they usually don't determine complete hyperbolic structures. (In fact, only 2 of them do.) The extra conditions needed for completeness are:

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- All Im z<sub>i</sub> are non-zero with the same sign; and
- For each end, some (hence any) non-trivial curve on the torus has parabolic holonomy. (These are the *completeness equations*.)

Consider a nearby solution  $W = (w_1, \ldots, w_N)$ , with all Im  $w_i > 0$ , but not satisfying the completeness equations. The pseudo-developing map D defined by W is a developing map, but for an incomplete hyperbolic structure.

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For each end E of M, the holonomy representation  $\rho_D$  takes  $\pi_1(E) \cong \mathbb{Z} \oplus \mathbb{Z}$  to an abelian group of loxodromic isometries with a common axis  $A_E$ . The metric space completion  $\widehat{E}$  adjoins the quotient space  $A_E/\rho_D(\pi_1(E))$  – either one point or a circle.

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In the case that  $A_E/\rho_D(\pi_1(E))$  is a circle,  $\widehat{E}$  is a hyperbolic manifold.

#### Dehn Filling

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Topologically,  $\widehat{M}$  is obtained from N by adding a solid torus  $S^1 \times D^2$  to the boundary component corresponding to E, so that the meridian curves  $* \times \partial D$  are homotopic to  $\mu_E$ . We say  $\widehat{M}$  is a Dehn filling of N.

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Thurston's Dehn Filling Theorem. Let N be a compact 3-manifold boundary a torus. Then all but finitely many Dehn fillings of N are hyperbolic. (In fact there is a neighborhood of the developing map of M contains developing maps for hyperbolic structures on all but finitely many Dehn fillings.)

There is also an extension of this result to the case where  $\partial N$  has more than one boundary components.