

Non-uniqueness of minimal surfaces

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Minimal maps in products of Riemann Surfaces

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given as the sum of energies of the corresponding harmonic diffeomorphisms.

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Uniqueness Conjecture

For each Fuchsian representation $\rho : \pi_1(\Sigma_g) \rightarrow \prod_{i=1}^n \mathbf{PSL}(2, \mathbb{R})$, the energy functional E_ρ has a unique stationary point (and thus a unique minimal surface).

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- Schoen proved (applying the work of Micallef-Wolfson) that this is the only stationary point of E_ρ providing $n = 2$.

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Then the inequality

$$\operatorname{Re} \sum_{i=1}^n \int_S \phi_i \frac{\mu_i}{1 - |\mu_i|^2} \leq \sum_{i=1}^3 \int_S |\phi_i| \frac{|\mu_i|^2}{1 - |\mu_i|^2}$$

holds where

$$\mu_i = \frac{(f_i)_{\bar{z}}}{(f_i)_z} \frac{d\bar{z}}{dz}.$$

Equivalence between the conjectures

Equivalence Theorem

The "Uniqueness Conjecture" is equivalent to the "Generalized Main Inequality Conjecture" for each n .

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- From the Schoen uniqueness result, we find that Generalized Main Inequality Conjecture holds when $n = 2$.

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$$\int_S \phi \frac{\mu_1}{1 - |\mu_1|^2} - \int_S \phi \frac{\mu_2}{1 - |\mu_2|^2} \leq \int_S |\phi| \frac{|\mu_1|^2}{1 - |\mu_1|^2} + \int_S |\phi| \frac{|\mu_2|^2}{1 - |\mu_2|^2}$$

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- This new inequality provides a new proof of the Teichmüller theorem about the uniqueness of geodesics between two points in \mathbf{T}_g .

The New Main Inequality fails when $n = 3$

Counterexample Lemma

For every large enough $g \geq 2$, there exist

- closed Riemann surfaces S and S' of genus g ,
- mutually homotopic diffeomorphisms $f_i : S \rightarrow S'$, $i = 1, 2, 3$,
- holomorphic quadratic differentials ϕ_i on S , satisfying the condition

$$\phi_1 + \phi_2 + \phi_3 = 0,$$

such that the following strict inequality

$$\operatorname{Re} \sum_{i=1}^3 \int_S \phi_i \frac{\mu_i}{1 - |\mu_i|^2} > \sum_{i=1}^3 \int_S |\phi_i| \frac{|\mu_i|^2}{1 - |\mu_i|^2}.$$

Non-uniqueness Theorem

For every large enough $g \geq 2$, there exists a Fuchsian representation $\rho : \pi_1(\Sigma_g) \rightarrow \prod_{i=1}^3 \mathbf{PSL}(2, \mathbb{R})$ such that $E_\rho : \mathbf{T}_g \rightarrow (0, \infty)$ has at least two stationary points.

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- We also give a different proof of the uniqueness result when $n = 2$ (one can also give a new proof that the energy functional is pluri-subharmonic on \mathbf{T}_g).

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- Fix Riemann surfaces S , S' , diffeomorphisms $f_i : S \rightarrow S'$, and holomorphic quadratic differentials ϕ_i satisfying the assumptions and conclusions of the lemma.
- For every $t > 0$, we let (M_i^t, σ_i^t) be the hyperbolic Riemann surface, and $h_i^t : S \rightarrow (M_i^t, \sigma_i^t)$ the harmonic diffeomorphism, such that $\text{Hopf}(h_i^t) = t\phi_i$.

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- Fix Riemann surfaces S, S' , diffeomorphisms $f_i : S \rightarrow S'$, and holomorphic quadratic differentials ϕ_i satisfying the assumptions and conclusions of the lemma.
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- For each fixed t , we consider the energy functional $E^t : \mathbf{T}_g \rightarrow \mathbb{R}$.

Non-uniqueness of minimal surfaces

- Note that S is the critical point of each function E^t because

$$\text{Hopf}(h^t) = t(\phi_1 + \phi_2 + \phi_3) = 0,$$

where $h^t : S \rightarrow M_1^t \times M_2^t \times M_3^t$ is the corresponding product map (that is, h^t is a minimal surface).

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- The idea of this proof is to show that for t large enough E^t does not achieve its minimum at S . Thus, the global minimum of E^t provides another critical point of E^t which proves the Non-uniqueness Theorem.

Non-uniqueness of minimal surfaces

- The inequality

$$E^t(S') \leq \sum_{i=1}^3 \mathcal{E}(h_i^t \circ f_i^{-1})$$

holds because the total energy of the diffeomorphism $h_i^t \circ f_i^{-1} : S' \rightarrow M_i^t$ is greater or equal than the energy of the harmonic diffeomorphism in its homotopy class.

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- This shows

$$\begin{aligned} E^t(S') - E^t(S) &\leq \sum_{i=1}^3 (\mathcal{E}(h_i^t \circ f_i^{-1}) - \mathcal{E}(h_i^t)) \\ &\leq -4 \operatorname{Re} \sum_{i=1}^3 \int_S t \phi_i \frac{\mu_i}{1 - |\mu_i|^2} + 2 \sum_{i=1}^3 \int_S e(h_i^t) \frac{|\mu_i|^2}{1 - |\mu_i|^2}. \end{aligned}$$

Non-uniqueness of minimal surfaces

- Dividing the previous inequality by $4t$ yields

$$\frac{E^t(S') - E^t(S)}{4t} = \sum_{i=1}^3 \left(-\operatorname{Re} \int_S \phi_i \frac{\mu_i}{1 - |\mu_i|^2} + \int_S |\phi_i| \frac{|\mu_i|^2}{1 - |\mu_i|^2} \right) + o(1),$$

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where $o(1) \rightarrow 0$ when $t \rightarrow \infty$.

- From the lemma we see that when $o(1)$ is sufficiently small, the left hand side is negative. Thus, $E^t : \mathbf{T}_g \rightarrow (0, \infty)$ does not achieve its global minimum at S which proves the theorem.

Generalized Main Inequality on \mathbb{D}

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- The proof of the Counterexample Lemma (and thus of the Non-uniqueness Theorem) is explicit. We construct concrete holomorphic functions $\phi_i \in \mathcal{H}^1(\mathbb{D})$, and Beltrami dilatations $\mu_i \in L_1^\infty(\mathbb{D})$, on \mathbb{D} so that strict inequality in the lemma holds.

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- These functions ϕ_i are squares of certain quadratic polynomials, and μ_i 's are then constructed so that inequality holds.

The Infinitesimal Version

Infinitesimal Main Inequality Conjecture

Given mutually infinitesimally equivalent $\mu_i \in L^\infty(\mathbb{D}) \cap C_0^\infty(\mathbb{D})$, and functions $\phi_i \in \mathcal{H}^1(\mathbb{D})$, $i = 1, \dots, n$, with the property

$$\phi_1 + \dots + \phi_n = 0,$$

then the inequality

$$-\operatorname{Re} \sum_{i=1}^n \int_{\mathbb{D}} \phi_i \mu_i T(\mu_i) \, dx dy \leq \sum_{i=1}^n \int_{\mathbb{D}} |\phi_i| |\mu_i|^2 \, dx dy, \quad (\star)$$

holds.

The Infinitesimal Version

Infinitesimal Main Inequality Conjecture

Given mutually infinitesimally equivalent $\dot{\mu}_i \in L^\infty(\mathbb{D}) \cap C_0^\infty(\mathbb{D})$, and functions $\phi_i \in \mathcal{H}^1(\mathbb{D})$, $i = 1, \dots, n$, with the property

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$$-\operatorname{Re} \sum_{i=1}^n \int_{\mathbb{D}} \phi_i \dot{\mu}_i T(\dot{\mu}_i) \, dx dy \leq \sum_{i=1}^n \int_{\mathbb{D}} |\phi_i| |\dot{\mu}_i|^2 \, dx dy, \quad (\star)$$

holds.

- This conjecture is equivalent to the Uniqueness Conjecture for minimal surfaces in a product of n Riemann surfaces.

The case $n = 2$

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Proposition

Suppose $\dot{\mu}, \dot{\nu} \in L^\infty(\mathbb{D})$ are infinitesimally equivalent, and let $\psi \in \mathcal{H}^2(\mathbb{D})$. Then

$$\begin{aligned} & \int_{\mathbb{D}} \psi^2 \dot{\mu} T(\dot{\mu}) \, dx dy - \int_{\mathbb{D}} \psi^2 \dot{\nu} T(\dot{\nu}) \, dx dy \\ &= \int_{\mathbb{D}} (\psi \dot{\mu}) T(\psi \dot{\mu}) \, dx dy - \int_{\mathbb{D}} (\psi \dot{\nu}) T(\psi \dot{\nu}) \, dx dy. \end{aligned}$$

The case $n = 2$

Proof.

$$\begin{aligned} & \int_{\mathbb{D}} \psi^2 \dot{\mu} T(\dot{\mu}) \, dx dy - \int_{\mathbb{D}} (\psi \dot{\mu}) T(\psi \dot{\mu}) \, dx dy = \\ & \frac{1}{2} \int_{\mathbb{D} \times \mathbb{D}} \frac{(\psi(z) - \psi(\zeta))^2}{(z - \zeta)^2} \dot{\mu}(z) \dot{\mu}(\zeta) \, dz d\zeta = \frac{1}{2} \int_{\mathbb{D} \times \mathbb{D}} \frac{(\psi(z) - \psi(\zeta))^2}{(z - \zeta)^2} \dot{\nu}(z) \dot{\nu}(\zeta) \, dz d\zeta \\ & = \int_{\mathbb{D}} \psi^2 \dot{\nu} T(\dot{\nu}) \, dx dy - \int_{\mathbb{D}} (\psi \dot{\nu}) T(\psi \dot{\nu}) \, dx dy. \end{aligned}$$

□

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Indeed, from the proposition we obtain

$$\sum_{i=1}^2 \int_{\mathbb{D}} \psi_i^2 \mu_i T(\mu_i) \, dx dy = \sum_{i=1}^2 \int_{\mathbb{D}} (\psi_i \mu_i) T(\psi_i \mu_i) \, dx dy.$$

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- Then using the Cauchy-Schwartz inequality, and then the fact that the Beurling Transform T is an L^2 -isometry, we get

$$\begin{aligned} \left| \sum_{i=1}^2 \int_{\mathbb{D}} (\psi_i \dot{\mu}_i) T(\psi_i \dot{\mu}_i) dx dy \right| &\leq \sum_{i=1}^2 \int_{\mathbb{D}} |\psi_i \dot{\mu}_i| |T(\psi_i \dot{\mu}_i)| dx dy \\ &\leq \sum_{i=1}^2 \int_{\mathbb{D}} |\psi_i|^2 |\dot{\mu}_i|^2 dx dy \end{aligned}$$

The general case

- In general (for every n), we obtain using the Stokes theorem

$$\sum_{i=1}^n \int_{\mathbb{D}} \psi_i^2 \dot{\mu}_i T(\dot{\mu}_i) dx dy = \sum_{i=1}^n \int_{\mathbb{D}} (\psi_i P(\dot{\mu}_i))_{\bar{z}} (\psi_i P(\dot{\mu}_i))_z dx dy.$$

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- Thus, the (\star) inequality becomes

$$0 \leq \sum_{i=1}^n \int_{\mathbb{D}} |(f_i)_{\bar{z}}|^2 dx dy + \operatorname{Re} \sum_{i=1}^n \int_{\mathbb{D}} (f_i)_{\bar{z}} (f_i)_z dx dy, \quad (\star\star)$$

where $f_i = \psi_i P(\dot{\mu}_i)$.

The general case

- For a smooth function $f : \mathbb{D} \rightarrow \mathbb{C}$, we define the functional

$$\mathcal{F}(f) = \int_{\mathbb{D}} |f_{\bar{z}}|^2 dx dy + \operatorname{Re} \int_{\mathbb{D}} f_z f_{\bar{z}} dx dy.$$

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- Then the $(\star\star)$ can be written as

$$0 \leq \sum_{i=1}^n \mathcal{F}(\psi_i P(\dot{\mu}_i)) \quad (\star\star)$$

Lemma 1

Suppose $\psi(z) = a + bz + cz^2$ is a quadratic polynomial which has no zeroes on the unit circle $\partial\mathbb{D}$. Then for every $\epsilon > 0$, there exists $\dot{\mu} \in L^\infty(\mathbb{D}) \cap C_0^\infty(\mathbb{D})$ such that

$$P(\dot{\mu})(z) = \frac{1}{z}, \quad z \in \mathbb{D}^*,$$

and

$$|\mathcal{F}(\psi P(\dot{\mu})) - \pi(|a|^2 + \operatorname{Re}(ac))| \leq \epsilon.$$

Three polynomials

- Define the following polynomials of order two

$$\psi_1 = \mathbf{i} - 5z + \mathbf{i}\frac{25}{4}z^2, \quad \psi_2 = \mathbf{i} + 5z + \mathbf{i}\frac{25}{4}z^2, \quad \psi_3 = -\sqrt{2} + \sqrt{2}\frac{25}{4}z^2.$$

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- Then

$$\psi_1^2 + \psi_2^2 + \psi_3^2 \equiv 0,$$

and

$$\pi \sum_{i=1}^3 (|a_i|^2 + \operatorname{Re}(a_i c_i)) = -21\pi,$$

where $\psi_i(z) = a_i + b_i z + c_i z^2$, $i = 1, 2, 3$.

Three polynomials

- We now choose $\dot{\mu}_i$'s from Lemma 1 (we let $\epsilon = \pi$ in the lemma), and get

$$\sum_{i=1}^n \int_{\mathbb{D}} |(f_i)_{\bar{z}}|^2 dx dy + \operatorname{Re} \sum_{i=1}^n \int_{\mathbb{D}} (f_i)_{\bar{z}} (f_i)_z dx dy < -20\pi,$$

where as before $f_i = \psi_i P(\dot{\mu}_i)$.

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 - ① $g_{\bar{z}}$ has compact support in \mathbb{D} ,

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 - 3 the inequality

$$\left| \mathcal{F}(g) - \pi (|a|^2 + \operatorname{Re}(ac)) \right| \leq \epsilon,$$

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and we are done.